

10-12-01

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JC997 U.S. PTO
10/09/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re New Patent Application:

Attorney Docket No.: 7109-001 US

Inventors: **JACQUES R. FRANCOEUR**

ROBERT J. PEIZER

Filing Date: filed herewith on OCTOBER 9, 2001

Title: **DIGITAL CHAIN OF TRUST METHOD FOR ELECTRONIC COMMERCE**

J1002 U.S. PTO
09/975274
10/09/01

Certification under 37 CFR 1.10

Mailing Date: **October 9, 2001**

Express Mail Label No.: **EL 719 274 615 US**

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under the above Label Number under 37 CFR 1.10, on the above stated date addressed to Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

Name: **Jacques R. Francoeur**

Signature: 

Box PATENT APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

NEW PATENT APPLICATION TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing is the above-entitled Regular Patent Application, including the following papers, correspondence and related instructions, as indicated:

- ☒ Application (30 pages total), including:
- | | |
|---------------|-------------|
| Specification | (26 pages) |
| Claims 1 - 9 | (3 pages) |
| Abstract | (1 page) |
- ☒ Drawings (25 sheets, including Figs. i - 7);
drawings are: ☒ Informal ☐ Formal
- ☒ Combined Declaration and Power of Attorney
- ☒ The enclosed Declaration has been executed by all of the inventors.
- ☐ The enclosed Declaration has not been executed by all of the inventors. A fully executed Declaration with surcharge will be submitted with a 1533 Notice Response.
- ☒ Entitlement to Small Entity Status of Inventors and of Small Business Concern is asserted.
- ☐ Information Disclosure Statement
- ☐ Assignment of Inventors
- ☐ Assignment Recordation Cover Sheet
- ☒ Check No. 1513 for fees
- ☒ Return postcard

Claim of Priority:

- ☒ Applicants claim priority under 35 U.S.C. §§ 119(a), 119(e), 120 and §365(b) of the following US Provisional and foreign Applications:

Provisional Applications No.: 60/238,541 , filed October 7, 2000

7109-001-10001

Please note that October 7, 2001 is a Sunday; October 8, 2001, (Monday) is a Federal Holiday.
(Columbus Day)

Please address all future written and telephone communication to:

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851 Fremont Ave., Suite 101
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Telephone: (650) 947 - 7287
Fax: (650) 947 - 7280
e-mail: Dulin@InnovationLaw.com

Fee Payment and Deposit Account Authorization:

Applicants and their Assignee are: ☒ Small Entity; ☐ Large entity.

The required fee is calculated below:

Basic Filing Fee (\$370/740):	\$370
Total claims: 9 - 20 = NA (@ \$9/18 each)	0
Independent claims: 2 - 3 = NA (@ \$42/84 each)	0
Multiple Dependency Fee: 0 (@ \$140/280 each)	0
Surcharge for late Declaration or filing fee (\$65/130)	0
Recordation of Assignment: (@ \$40 each application)	0
TOTAL FEE DUE:	<u>\$370</u>

- ☒ The filing fee is being paid by the enclosed check No. 1513 in the amount of \$370.00.
- ☐ Please charge Deposit Account No. 04-1699 in the amount of \$ ____ to cover the above fees,
- ☒ Please charge any additional fees or credit any overpayments to Deposit Account 04-1699.
A duplicate copy of this sheet is enclosed.
- ☐ The filing fee will be paid timely in response to a 1533 Notice of Missing Parts.
- ☒ The filing fee and surcharge under 37 CFR 1.16, patent application processing fees under 37 CFR 1.17 and patent issue fees under 37 CFR 1.18 are intended to be paid by our firm as they arise. As no abandonment is intended by any inadvertent nonpayment of fees, the Commissioner is hereby authorized to charge payment of such fees as from time to time come due, if not paid prior to due date to our Deposit Account No. 04-1699.

Date: October 9, 2001

Innovation Law Group, Ltd.
851 Fremont Ave., Suite 101
Los Altos, California 94024
Phone: (650) 947 - 7287
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Respectfully submitted,



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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 370.00

Complete if Known

Application Number	
Filing Date	10/09/2001
First Named Inventor	Francoeur, Jacques R
Examiner Name	
Group Art Unit	
Attorney Docket No.	7109-001 US

METHOD OF PAYMENT

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number 04-1699

Deposit Account Name Jacques M. Dulin

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☒ Applicant claims small entity status See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	370.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ 370.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
9	-20** = 0	0.00	0.00
Independent Claims	2	-3** = 0	0.00
Multiple Dependent		0.00	0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00

**or number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 370.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Jacques M. Dulin	Registration No (Attorney/Agent)	24,067	Telephone	650-947-7287
Signature	<i>Jacques M. Dulin</i>		Date	10/09/2001	

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Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U S Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.